

Avon Pension Fund

Bath & North East Somerset Council

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| **Tier 3 Ill Health Retirement Review Certificate –**  **Review within 3 years of pension being suspended** | **LGPS 17F** |

***Tier 3 Ill Health Retirement Review Certificate for a suspended 3rd Tier Pensioner where the cessation of employment occurred before 1 April 2014 – Review taking place within 3 years of the date of cessation of the 3rd tier pension (and before normal retirement age1).***

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| **PART A - Employee Details (To be completed by the employer)** |  |

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| SURNAME: |  | | | | | FORENAME(S): | | |  | |
| DATE OF BIRTH: | |  | | | NI NUMBER: | | | |  | |
| HOME ADDRESS: | |  | | | | | | | | |
|  | | | | | | | | | | |
| FORMER EMPLOYER: | | |  | | | | | | | |
| FORMER POSITION (POST TITLE): | | | |  | | | | | | |
| NATURE OF FORMER EMPLOYMENT (JOB DESCRIPTION ATTACHED): | | | | | | | | | |  |
| DATE OF CESSATION OF FORMER POSITION: | | | | | | |  | | | |
| DATE MEMBER ASKED FOR CASE TO BE REVIEWED: | | | | | | | |  | | |

The person named above was, at the date of cessation of their former position, certified as being, on the balance of probabilities, permanently incapable2 of discharging efficiently the duties of his / her employment with his / her employer because of ill health or infirmity of mind or body, and that, although having a **reduced likelihood** of being capable of undertaking other gainful employment3before their normal retirement age1, it was nevertheless likely that he / she would be capable of undertaking gainful employment3 within 3 years of the date of cessation of employment (or by his / her normal retirement age1, if earlier). He / she was awarded a short-term, reviewable, 3rd tier pension which was subsequently suspended. It is now necessary to determine, in accordance with regulation 20(11) of the Local Government Pension Scheme (Benefits, Membership and Contributions) Regulations 2007 and within 3 years of the 3rd tier pension being suspended, whether the person can, due to the medical condition that resulted in the original award of a tier 3 ill health pension, be uplifted to a tier 2 pension and, if not, whether in accordance with regulation 31(7) of those Regulations, the person can have the tier 3 pension brought back into payment due to a medical condition other than that which resulted in the original award of a tier 3 ill health pension.

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| **PART B - To be completed by the approved4 registered medical practitioner** |  |

The questions in this part relate to whether or not the person can, due to the medical condition that resulted in the original award of a tier 3 ill health pension, be uplifted to a tier 2 pension in accordance with regulation 20(11) of the Local Government Pension Scheme (Benefits, Membership and Contributions) Regulations 2007.

**Please tick either B1 or B2**

I certify that, in my opinion, and having regard only to the medical condition that resulted in the original award of a tier 3 ill health pension, the person named in **Part A**

**(B1)**  **IS / HAS BEEN** capable of undertaking5 gainful employment3 within three years of the date of leaving shown in Part A (or by their normal retirement age1, if earlier), or

**(B2)**  **IS NOT / HAS NOT BEEN** capable, due to the medical condition that resulted in the original award of a Tier 3 ill health pension, of undertaking5 gainful employment3 within three years of the date of leaving shown in Part A but is likely to be capable of undertaking gainful employment3 before his / her normal retirement age1.

**If B1 has been ticked, please complete Part C.**

**If B2 has been ticked, please complete Part D.**

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| **PART C – To be completed by the approved4 registered medical practitioner** |  |

The questions in this part relate to whether or not the person can, due to a medical condition other than that which resulted in the original award of a tier 3 ill health pension, have the suspended tier 3 ill health pension brought back into payment in accordance with regulation 31(7) of the Local Government Pension Scheme (Benefits, Membership and Contributions) Regulations 2007.

**Please tick either B3 or B4.**

I certify that, in my opinion, and having regard to a medical condition other than that which resulted in the original award of a tier 3 ill health pension, the person named in Part A

**(B3)**  **IS NOT** permanently incapable of undertaking5 any gainful employment3 before his / her normal retirement age1

**(B4)**  **IS** permanently incapable of undertaking5 any gainful employment3 before his / her normal retirement age1 and that the date he / she became so incapable, based on evidence available at that time, was:

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| --- | --- |
| DATE: |  |

*(Note: the date entered can be earlier than, and need not correspond with, the date the person asked for the case to be reviewed, as shown in Part A, and will be used as the date from which the suspended tier 3 pension will be brought into payment).*

Please now complete Part D.

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| **PART D – General Statement to be completed by the approved4 registered medical practitioner** |  |

I **do** **, do not** attach a copy of my full report / assessment and I certify that:

I am registered with the General Medical Council, and

I hold a diploma in occupational health medicine (D Occ Med) or an equivalent qualification issued by a competent authority in an EEA State (with ‘competent authority’ having the meaning given by Section 55(1) of the Medical Act 1983), or I am an Associate, a Member or a Fellow of the Faculty of Occupational Medicine or of an equivalent institution in an EEA State, and

I have given due regard to the guidance issued by the Secretary of State when completing this certificate\*.

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|  | Date: |  |
| Signature of independent registered medical practitioner |  | |

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|  | GMC Number: |  |
| Printed name of independent registered medical practitioner |  | |

Registered medical practitioner’s / company’s official stamp or address *(Mandatory)*

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(\* the latest versions of the guidance document, and the supplementary guidance document, are available from the table at http://timeline.lge.gov.uk/Statutory%20Guidance%20and%20circulars/statguide.htm)

**Explanatory notes to accompany certificate**

**Meaning of terms used**

1‘Normal retirement age’ means age 65 (apart from in the case of a small number of protected members who have a normal retirement age of 60 e.g. employees who were transferred to local government from the Learning and Skills Council for England on 1 April 2010).

2 ‘Permanently incapable’ means that the person was, more likely than not, incapable of discharging efficiently the duties of their employment with the employer because of ill health or infirmity of mind or body until, at the earliest, their normal retirement age – see1.

3‘Gainful employment’ means paid employment for not less than 30 hours in each week for a period of not less than 12 months. It does not have to be employment that is commensurate in terms of pay and conditions with that of the person’s former employment.

4The independent registered medical practitioner signing the certificate must have been approved for this purpose by the Pension Fund administering authority.

5The independent registered medical practitioner is providing an opinion on the person’s capability of undertaking gainful employment based solely on the effect the medical condition has on the ability to undertake gainful employment.

6The independent registered medical practitioner signing the certificate does not have to be a different independent registered medical practitioner to the one who originally certified the scheme member’s permanent incapacity at the date of leaving i.e. the same practitioner can sign this certificate too.

**General – notes for employers**

If B1 and B3 are ticked, the 3rd tier ill health pension remains suspended as the member does not satisfy the requirements to have it brought back into payment.

If B2 is ticked, the former employer can determine to award an enhanced (2nd tier) ill health pension, payable from the date of their determination.

If B1 and B4 are ticked, the employer can bring the suspended 3rd tier ill health pension back into payment from the date the person became permanently incapableof undertaking any gainful employment.

If B2 has been ticked and the former employer determines to award an enhanced (2nd tier) ill health pension, payable from the date of their determination, or B4 has been ticked and the suspended tier 3 pension is brought back into payment, there is no pension input amount for the purposes of the annual allowance test under the Finance Act 2004.

If B2 or B4 have been ticked, Pensions Increase will be payable under the Pensions (Increase) Act 1971 even if the member is under age 55.

The opinion given by the approved registered medical practitioner does not, in itself, determine whether the suspended pension is brought back into payment or is uplifted to tier 2. Nor should the medical practitioner indicate to the individual that a benefit under the LGPS will be payable. It is for the former employing authority to make the formal determination.

If the person is not capable, due to the medical condition that resulted in the original award of a tier 3 ill health pension, of undertaking5 gainful employment3 within three years of the date of leaving shown in Part A and is permanently incapable2 of undertaking any gainful employment3 before his / her normal retirement age1*,* neither regulation 20 nor regulation 31 of the Local Government Pension Scheme (Benefits, Membership and Contributions) Regulations 2007 (as amended) permit a tier 1 ill health pension to be awarded. Where a tier 3 ill health pension was originally awarded the person would, in order to obtain a tier 1 ill health pension, have to have made a successful appeal under the Internal Dispute Resolution Procedure with the appeal being launched within 6 months of initially being notified of the award of a tier 3 ill health pension (or such longer period as the person hearing the appeal considers appropriate).

*These notes were up-to-date when this form was updated in June 2019 and are provided for information only. They confer no contractual or statutory rights and in the event of any dispute the appropriate legislation will prevail.*

*This is a medical certificate provided by an independent, approved, duly qualified registered medical practitioner in respect of a 3rd tier pensioner whose* ***pension is currently in payment*** *in accordance with regulation 20 of the Local Government Pension Scheme (Benefits, Membership and Contributions) Regulations 2007 (as amended) and regulation 56 of the Local Government Pension Scheme (Administration) Regulations 2008 (as amended.*

**Avon Pension Fund, Local Government Pension Scheme administered by Bath & North East Somerset Council.**

**Data Protection:** Avon Pension Fund is a Data Controller as defined in the UK GDPR. We store, hold and manage your personal data in line with statutory requirements to provide you with pension administration services. For more information about how we process your data, your individual rights and answers to frequently asked questions, please visit our website: [avonpensionfund.org.uk](https://www.avonpensionfund.org.uk/)